



ICG SYSTEMS

SOLUCIONES TECNOLÓGICAS

• Fondos de Inversión • Fondos Mutuos • Administración de Carteras

1. Introduction

ICG SYSTEMS is an independent company that provides specialized technological solutions in investment management for finance companies specially in the management of mutual funds and investment fund companies and societies that realize portfolio administration for them and for thirds.

Our objective is to provide technological solutions that allows our clients to focus on their investments administration without the need to focus resources in supplying and/or developing technologies to support areas of the company that are not part of their core business.

ICG SYSTEMS assists each client with the implementation process and technological solutions launch; as well as in the development of specific functionalities that could be required. Furthermore ICG SYSTEMS continually provides a high level of technical support that allows our clients to have permanent backing.

2. Our Company

ICG SYSTEMS is an independent Chilean company that provides technological solutions, founded in 2012, our principal line of business is the licensing and maintenance of ICGS System. The ICG System is a specialized software for the management of financial assets with a specific focus on mutual fund management companies, investments funds, investment companies, family offices and multifamily offices.

ICG Systems partners are professionals with more than 15 years of professional experience in performing of management duties in different companies of the financial sector such as Fund Management Companies, Brokerage and Insurances Companies.

The above, together with our team of specialized professionals in information technologies have allowed us to develop and provide to our clients technological solutions of a high standard that permit them to focus in the administration of their investments.

Since its foundation to this date, ICGS System has successfully licensed the ICGS System for both Investment Fund Managers and Wealth Management Companies and continues to be an important participant in the chilean financial industry.

3. Software

ICGS System is a specialized software for financial assets management whose principal objective is to automate Back Office, Middle Office and Front Office functions for mutual funds, investment funds and portfolio administration accounts.

The principal advantage of our system is that its core is shared for mutual funds, investments funds and portfolio administration so every potentialities and functionalities of an investment fund system, for example, the NAV calculation, accounting, investment limits, etc., can be used for a portfolio administration account through the defining of system parameters.

At the same time, the principal characteristic of a Portfolio Administration Accounts, for example, the consolidation of accounts, investment statements, etc., can also be made available for Mutual Fund or Investment Fund.

3.1. Types of Licenses Available

ICGS System can be licensed in the following types depending on the kind of the product:

License Type	Product
Funds License	Mutual Funds
	Public Investment Funds
	Private Investment Funds
Portfolio Administration License	Investment Companies
	Family Offices

3.2. Available Modules per License

The modules available depending on the type of license are the following:

Module	Funds License	Portfolio Administration License
Participant/Clients	√	√
Operations	√	√
Accounting	√	optional
Investments	√	√
Treasure	√	√
Compliance	√	optional
NAV Calculation	√	optional
Financial Statements	optional	-
Tributary	optional	optional
Reports	√	√
Administration	√	√

3.3. Available Functionalities per Module

Users/Clients Module

- ✓ Management of Participants Information/Clients, legal representations, agents.
- ✓ Income contributions, redemptions, capital withdrawals, promises of installments subscriptions.
- ✓ Statements of movements and Participants Register
- ✓ Generation of contracts and vouchers.

Operations Module

- ✓ Entry and edition of operations
- ✓ Capital changes of incomes (dividends, preferential rights, etc.)
- ✓ Entry of Currencies, prices, and other units of adjustment etc.
- ✓ Guarantees administration, transfer of installments and blocking of assets
- ✓ Custody Reconciliation.
- ✓ Administration of Legal documentation

Accounting Module

- ✓ Definition of Accounting Ledger. Accounting item definition
- ✓ Automatic accounting register associated to income of operations.
- ✓ Manual Entry into Accounting Ledger
- ✓ Generation of Accounting reports (balance, daybook, ledger, etc.)

Investments Module

- ✓ Valuation of fixed and variable financial instruments, national and foreign.
- ✓ Valuation of agreements, simultaneous, loans, and short sales.
- ✓ Valuation of derivative products (forwards, swaps, options, future).
- ✓ Configuration of types of prices to value portfolios.

Treasure Module

- ✓ Administration of numerous current accounts and currencies.
- ✓ Administration of Liquidation Currency and Conditions of Liquidation.
- ✓ Calculation and Cash Flow Projections
- ✓ Bank Reconciliation

Compliance Module

- ✓ Creation of Investments Limits
- ✓ Administration of related persons
- ✓ Administration of Limits of return per account
- ✓ Definition of Risk Indicators
- ✓ Control of Subscription Promises, Control of Subscription Limits, etc.

Calculation of Installment Value Module

- ✓ Automatic calculation of NAV from and/or Register of shares in circulation.
- ✓ Automatic presentation of assets variations that explain the asset variation of the period
- ✓ Detail viewer of assets variations.

Financial Statement Module

- ✓ Creation of Financial Statements and Supplementary Statements
- ✓ Creation of Notes.

Tributary Module

- ✓ Calculation of Benefits for each redemption
- ✓ Tax Certificates for Investments Funds
- ✓ Profits Received from Foreign Investments

Reports Module

- ✓ Investments Statements
- ✓ Rentability and Performance Reports
- ✓ Other Reports (expenses, capital variations, projection of expiry date of fixed income assets, realized profits, commissions, limits, etc.)

Administration Module

- ✓ Independent Daily Process for Closing between funds/accounts, and participants
- ✓ Controlled administration of the Daily Closing Process
- ✓ Access to accounts/funds controlled by a User Administrator
- ✓ Access to modules according to each user profile